

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ucrra.org/wp-content/uploads/2018/03/2017-accomplishments-property-internal-control.pdf
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ucrra.org/wp-content/uploads/2018/03/2017-accomplishments-property-internal-control.pdf
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.ucrra.org/wp-content/uploads/2018/01/Org-Chart-rev-01-30-18.pdf
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.ucrra.org/files/2015/01/UCRRA-Mission-Statement.pdf
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.ucrra.org/wp-content/uploads/2018/03/2017-authority-mission-statement-performance-measurement-report.pdf

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ucrra.org/wp-content/uploads/2018/01/2018-committees.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ucrra.org/boardandstaff/minutes/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ucrra.org/files/2014/09/AgencyByLawsFinal2011.pdf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ucrra.org/files/2014/09/codeofethics.pdf
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	http://www.ucrra.org/files/2014/09/Misc-Policies.pdf

Board of Directors Listing

Name	HAYES, JACK	Name	LANDI, CHARLES
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	02/02/2016	Term Start Date	01/01/2017
Term Expiration Date	12/31/2018	Term Expiration Date	12/31/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	WADNOLA, FRED	Name	DEVINE, BRIAN
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	02/16/2016	Term Start Date	02/02/2016
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>	
Name	GORDON, DAVID
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2017
Term Expiration Date	12/31/2019
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
AKHTAR, MERLYN	RECYCLING COORDINATOR/EDUCATOR	Professional				FT	Yes	57,120.00	38,425	0	0	0	0	38,425	No	
BORCHERDT, JEFFREY	MECHANIC	Operational	VMF			FT	Yes	47,388.00	47,388	21,324	0	1,450	520	70,682	No	
BRIGGS, THOMAS	COMPLIANCE OFFICER	Professional				FT	Yes	64,921.00	64,921	0	0	0	0	64,921	No	
BROOKS, WAYNE	DRIVER/OPERATOR	Operational	TRANSPORT OPERATIONS			FT	Yes	44,595.00	40,636	1,903	0	29,751	0	72,290	No	
BULEY, GLORIA	DRIVER/OPERATOR	Operational				PT	Yes	386.00	386	0	0	0	0	386	No	
CANNADY, DAVID	SORTING LINE WORKER	Operational				FT	Yes	29,255.00	29,255	427	0	0	16	29,698	No	
COFFEY, JOSEPH	LEAD TRANSFER STATION OPERATOR	Operational	TRANSFER STATION			FT	Yes	50,264.00	50,264	11,439	0	1,450	216	63,369	No	
CUNNINGHAM HUGHES, KIM	SCALEHOUSE OPERATOR	Operational				FT	Yes	38,875.00	28,932	4,277	0	0	96	33,305	No	
DACHENHAUSEN, ROBERT	LABORER	Operational				PT	Yes	13,654.00	13,654	0	0	0	0	13,654	No	
DEGRAFF, TIMOTHY	CONTROLLER	Managerial				FT	Yes	84,118.00	84,118	0	0	0	0	84,118	No	
FRANCE, MELINDA	ADMINISTRATIVE ASSISTANT	Administrative and Clerical				FT	Yes	46,818.00	50,168	0	0	0	0	50,168	No	
GLEASON, ROBERT	LABORER	Operational	TRANSFER STATION			FT	Yes	41,748.00	41,748	6,014	0	1,450	24	49,236	No	
HARKINS, ANTHONY	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	44,961.00	44,961	8,637	0	0	224	53,822	No	
JUDWARE, JUSTIN	DRIVER/OPERATOR	Operational				FT	Yes	44,595.00	30,680	4,798	0	0	160	35,638	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
KERN, JOSEPH	SORTING LINE WORKER	Operational	MRF			FT	Yes	29,258.00	29,258	1,991	0	1,450	96	32,795	No	
LOPIANO, AMY	CHIEF ACCOUNTING CLERK	Administrative and Clerical				FT	Yes	58,890.00	58,890	0	0	0	0	58,890	No	
MAGGIORE, WILLIAM	LABORER	Operational	MRF			FT	Yes	38,884.00	38,884	6,849	0	1,450	72	47,255	No	
MARKLE III, DONALD	DRIVER/OPERATOR	Operational				PT	Yes	5,293.00	5,293	0	0	0	0	5,293	No	
MARKLE JR, DONALD	LEAD SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	50,374.00	50,374	10,275	0	1,450	136	62,235	No	
NERONE, THOMAS	LABORER	Operational	MRF			FT	Yes	44,592.00	44,592	8,312	0	1,450	120	54,474	No	
NEWKIRK, ROLAND	SORTING LINE WORKER	Operational				PT	Yes	10,641.00	10,641	0	0	0	0	10,641	No	
NICKERSON, CRAIG	LABORER	Operational		Teamsters	Local 445	FT	Yes	44,595.00	3,363	55	0	0	0	3,418	No	
PARADIES JR, BARRY	MECHANIC	Operational	VMF			FT	Yes	47,357.00	47,357	5,518	0	1,450	112	54,437	No	
PARKEN, WILLIAM	LABORER	Operational	TRANSFER STATION			FT	Yes	41,572.00	41,572	7,276	0	1,450	320	50,618	No	
PIRATZKY, LISA	DATA ANALYST	Administrative and Clerical				FT	Yes	34,879.00	34,879	0	0	3,434	0	38,313	No	
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	Executive				FT	Yes	109,099.00	109,099	0	0	6,116	501	115,716	No	
SHAW, ERIC	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	47,356.00	47,356	5,825	0	1,450	8	54,639	No	
STRUBER, JUSTIN	SCALEHOUSE OPERATOR	Operational				FT	Yes	38,875.00	7,901	410	0	0	16	8,327	No	
TUBBY, ANDREW	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	44,518.00	44,518	16,037	0	1,450	360	62,365	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
VITEK, DEREK	LABORER	Operational	TRANSFER STATION			FT	Yes	44,595.00	6,464	0	0	0	0	6,464	No	
WARD, WAYNE	LABORER	Operational	MRF			FT	Yes	38,889.00	38,889	4,006	0	1,450	40	44,385	No	
WARREN, GREGORY	WELDER	Operational	VMF			FT	Yes	41,756.00	41,756	2,107	0	1,450	32	45,345	No	
WARREN, MARYLEE	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	41,652.00	41,652	7,215	0	1,450	184	50,501	No	
WHITAKER, BRENNNA	Clerical Secretary	Administrative and Clerical				FT	Yes	35,745.00	35,745	0	0	0	0	35,745	No	
WHITTAKER, CHARLES	OPERATIONS MANAGER	Managerial				FT	Yes	81,152.00	81,152	0	0	7,816	867	89,835	No	
WHITTAKER, WILLIAM	BUILDINGS & GROUNDSKEEPER	Operational	BUILDINGS AND GROUNDS			FT	Yes	50,172.00	50,172	6,284	0	1,450	112	58,018	No	
WOLF, BRUCE	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	44,750.00	44,750	1,576	0	1,450	0	47,776	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
HAYES, JACK	Board of Directors												X	
LANDI, CHARLES	Board of Directors												X	
WADNOLA, FRED	Board of Directors												X	
DEVINE, BRIAN	Board of Directors												X	
GORDON, DAVID	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	X	X				X					X		

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$6,535,205
Investments	\$2,542,949
Receivables, net	\$1,602,047
Other assets	\$381,073
Total Current Assets	\$11,061,274
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$654,304
Capital Assets	
Land and other nondepreciable property	\$683,172
Buildings and equipment	\$17,153,550
Infrastructure	\$0
Accumulated depreciation	\$8,406,667
Net Capital Assets	\$9,430,055
Total Noncurrent Assets	\$10,084,359
Total Assets	\$21,145,633

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$481,539
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$702,897
Deferred revenues	\$5,100
Bonds and notes payable	\$2,492,889
Other long-term obligations due within one year	\$202,518
Total Current Liabilities	\$3,884,943

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$5,592,693
Long Term Leases	\$0
Other long-term obligations	\$2,813,971
Total Noncurrent Liabilities	\$8,406,664

Total Liabilities

\$12,291,607

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$9,430,055
Restricted	\$3,742,549
Unrestricted	(\$4,318,578)
Total Net Assets	\$8,854,026

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$15,211,152
Rental & financing income	\$0
Other operating revenues	\$78,730
Total Operating Revenue	\$15,289,882

Operating Expenses

Salaries and wages	\$1,719,900
Other employee benefits	\$1,046,782
Professional services contracts	\$157,433
Supplies and materials	\$55,300
Depreciation & amortization	\$612,373
Other operating expenses	\$8,171,306
Total Operating Expenses	\$11,763,094

Operating Income (Loss) **\$3,526,788**

Nonoperating Revenues

Investment earnings	\$131,285
State subsidies/grants	\$40,813
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$13,520
Total Nonoperating Revenue	\$185,618

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$182,257
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$182,257
Income (Loss) Before Contributions	\$3,530,149
Capital Contributions	\$0
Change in net assets	\$3,530,149
Net assets (deficit) beginning of year	\$5,485,721
Other net assets changes	(\$161,844)
Net assets (deficit) at end of year	\$8,854,026

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Authority Debt - General Obligation					
Authority Debt - General Obligation					
Authority Debt - Other					
Authority Debt - Other					
Authority Debt - Revenue					
Authority Debt - Revenue	0.00	9,978,745.00	0.00	2,129,990.00	7,848,755.00
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					
Other State-Funded					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: 10 ROLLOFF CONTAINERS

Estimated Fair Market Value: \$13,520.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 09/25/2017

Sale Price: \$13,520.00

Organization: GREENE COUNTY HIGHWAY AND SOLID WASTE DEPAR

Last Name:

First Name:

Purchaser Address

Address Line1: PO BOX 485

Address Line2:

City: CATSKILL

State: NY

Postal Code: 12414

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.ucrra.org/wp-content/uploads/2018/03/2017-accomplishments-property-internal-control.pdf
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ucrra.org/files/2014/09/Misc-Policies.pdf
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: