

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.ucrra.org/boardandstaff/public-authorities/

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ucrra.org/boardandstaff/public-authorities/
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ucrra.org/boardandstaff/minutes/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/

Board of Directors Listing

Name	LANDI, CHARLES	Name	DEVINE, BRIAN
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	04/16/2012	Term Start Date	02/02/2016
Term Expiration Date	12/31/2016	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	GORDON, DAVID	Name	HAYES, JACK
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2014	Term Start Date	02/02/2016
Term Expiration Date	12/31/2016	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	WADNOLA, FRED
Chair of the Board	Yes
If yes, Chair Designated by.	Elected by Board
Term Start Date	02/16/2016
Term Expiration Date	12/31/2017
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
AKHTAR, MERLYN	RECYCLING COORDINATOR/ EDUCATOR	Professional				FT	Yes	56,000.00	54,027	0	0	0	0	54,027	No	
BORCHERT, JEFFREY	MECHANIC	Operational	VMF			FT	Yes	46,415.00	46,415	8,243	0	1,498	144	56,300	No	
BRIGGS, THOMAS	COMPLIANCE OFFICER	Professional				FT	Yes	63,673.00	63,653	0	0	0	0	63,653	No	
BROOKS, WAYNE	DRIVER/OPERATOR	Operational	TRANSPORT OPERATIONS			FT	Yes	43,708.00	43,708	851	0	1,459	0	46,018	No	
CANNADY, DAVID	SORTING LINE WORKER	Operational				FT	Yes	27,297.00	27,297	719	0	0	64	28,080	No	
COFFEY, JOSEPH	LEAD TRANSFER STATION OPERATOR	Operational	TRANSFER STATION			FT	Yes	49,240.00	49,240	10,147	0	1,471	208	61,066	No	
DACHENHAUSEN, ROBERT	LABORER	Operational				PT	Yes	13,992.00	13,992	0	0	0	0	13,992	No	
DEGRAFF, TIMOTHY	CONTROLLER	Managerial				FT	Yes	82,332.00	82,332	0	0	0	0	82,332	No	
FRANCE, MELINDA	ADMINISTRATIVE ASSISTANT	Administrative and Clerical				FT	Yes	45,886.00	45,886	0	0	0	0	45,886	No	
GLEASON, ROBERT	LABORER	Operational	TRANSFER STATION			FT	Yes	43,709.00	43,709	6,913	0	1,465	0	52,087	No	
HARKINS, ANTHONY	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	39,354.00	4,881	78	0	0	0	4,959	No	
KERN, JOSEPH	SORTING LINE WORKER	Operational	MRF			FT	Yes	28,674.00	28,674	1,251	0	1,206	112	31,243	No	
LOPIANO, AMY	CHIEF ACCOUNTING CLERK	Administrative and Clerical				FT	Yes	57,740.00	57,740	0	0	0	0	57,740	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
MAGGIORE, WILLIAM	LABORER	Operational	MRF			FT	Yes	38,094.00	38,094	6,190	0	1,475	88	45,847	No	
MARKLE III, DONALD	DRIVER/OPERATOR	Operational				PT	Yes	2,957.00	2,957	0	0	0	0	2,957	No	
MARKLE JR, DONALD	LEAD SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	46,415.00	46,415	2,853	0	1,470	56	50,794	No	
NERONE, THOMAS	LABORER	Operational	MRF			FT	Yes	43,708.00	43,708	2,860	0	1,457	72	48,097	No	
NEWKIRK, ROLAND	SORTING LINE WORKER	Operational				PT	Yes	11,386.00	11,386	0	0	0	0	11,386	No	
NICKERSON, CRAIG	LABORER	Operational		Teamsters	Local 445	FT	Yes	42,061.00	42,061	4,147	0	1,412	0	47,620	No	
OCHOA, IVAN	SORTING LINE WORKER	Operational	MRF			FT	Yes	28,683.00	32,407	5,246	0	6,463	184	44,300	No	
PARADIES JR, BARRY	MECHANIC	Operational	VMF			FT	Yes	46,412.00	46,412	2,058	0	1,452	64	49,986	No	
PARKEN, WILLIAM	LABORER	Operational	TRANSFER STATION			FT	Yes	40,821.00	40,821	7,126	0	1,475	352	49,774	No	
PEONE, ANGELINA	COLLEGE INTERN	Administrative and Clerical				PT	Yes	5,892.00	5,892	0	0	0	0	5,892	No	
PIRATZKY, LISA	DATA ANALYST	Administrative and Clerical				FT	Yes	37,574.00	37,574	0	0	0	0	37,574	No	
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	Executive				FT	Yes	105,980.00	105,980	0	0	0	6,902	112,882	No	
SHAW, ERIC	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	46,411.00	46,411	3,179	0	1,474	16	51,080	No	
TUBBY, ANDREW	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	42,453.00	42,453	9,924	0	1,485	152	54,014	No	
UTLEY, DAVID	SORTING LINE WORKER	Operational	MRF			FT	Yes	11,574.00	11,574	0	0	8,616	0	20,190	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
VITEK, DEREK	LABORER	Operational	TRANSFER STATION			FT	Yes	43,704.00	43,704	2,522	0	1,463	72	47,761	No	
WARD, WAYNE	LABORER	Operational	MRF			FT	Yes	38,130.00	38,130	3,146	0	1,468	32	42,776	No	
WARREN, GREGORY	WELDER	Operational	VMF			FT	Yes	40,907.00	40,907	444	0	1,463	8	42,822	No	
WARREN, MARYLEE	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	40,818.00	40,818	7,529	0	1,479	264	50,090	No	
WHITAKER, BRENNNA	Clerical Secretary	Administrative and Clerical				FT	Yes	35,190.00	23,988	0	0	0	0	23,988	No	
WHITTAKER, CHARLES	OPERATIONS MANAGER	Managerial				FT	Yes	80,437.00	80,437	0	0	5,684	0	86,121	No	
WHITTAKER, WILLIAM	BUILDINGS & GROUNDSKEEPER	Operational	BUILDINGS AND GROUNDS			FT	Yes	46,412.00	46,412	3,621	0	1,462	80	51,575	No	
WOLF, BRUCE	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	43,936.00	43,936	1,285	0	1,463	0	46,684	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
GORDON, DAVID	Board of Directors												X	
DEVINE, BRIAN	Board of Directors												X	
WADNOLA, FRED	Board of Directors												X	
LANDI, CHARLES	Board of Directors												X	
HAYES, JACK	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	X	X									X		

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$5,775,753
Investments	\$2,542,949
Receivables, net	\$1,720,706
Other assets	\$402,802
Total Current Assets	\$10,442,210
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$1,407,132
Capital Assets	
Land and other nondepreciable property	\$683,172
Buildings and equipment	\$16,921,658
Infrastructure	\$0
Accumulated depreciation	\$7,863,113
Net Capital Assets	\$9,741,717
Total Noncurrent Assets	\$11,148,849
Total Assets	\$21,591,059

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$566,727
Pension contribution payable	\$37,592
Other post-employment benefits	\$0
Accrued liabilities	\$699,625
Deferred revenues	\$7,800
Bonds and notes payable	\$2,263,725
Other long-term obligations due within one year	\$204,651
Total Current Liabilities	\$3,780,120

Noncurrent Liabilities

Pension contribution payable	\$770,475
Other post-employment benefits	\$0
Bonds and notes payable	\$8,085,582
Long Term Leases	\$0
Other long-term obligations	\$3,469,161
Total Noncurrent Liabilities	\$12,325,218

Total Liabilities

\$16,105,338

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$9,741,717
Restricted	\$3,566,904
Unrestricted	(\$7,822,900)
Total Net Assets	\$5,485,721

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$13,972,020
Rental & financing income	\$0
Other operating revenues	\$44,558
Total Operating Revenue	\$14,016,578

Operating Expenses

Salaries and wages	\$1,681,879
Other employee benefits	\$1,111,651
Professional services contracts	\$158,874
Supplies and materials	\$76,908
Depreciation & amortization	\$574,882
Other operating expenses	\$7,528,937
Total Operating Expenses	\$11,133,131

Operating Income (Loss) **\$2,883,447**

Nonoperating Revenues

Investment earnings	\$122,356
State subsidies/grants	\$286,067
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$105,698
Total Nonoperating Revenue	\$514,121

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$254,982
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$254,982
Income (Loss) Before Contributions	\$3,142,586
Capital Contributions	\$0
Change in net assets	\$3,142,586
Net assets (deficit) beginning of year	\$2,164,840
Other net assets changes	\$178,295
Net assets (deficit) at end of year	\$5,485,721

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	12,108,745.00	0.00	2,130,000.00	9,978,745.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: BOBCAT SKIDSTEER 742

Estimated Fair Market Value: \$6,300.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 06/07/2016

Sale Price: \$6,300.00

Organization:

Last Name: CAMARATA

First Name: ANDREW

Purchaser Address

Address Line1: 1260 Glasco Turnpike

Address Line2:

City: SAUGERTIES

State: NY

Postal Code: 12477

Plus4:

Province/Region:

Country: USA

2. Property Description: SAMSUNG LOADER SL150

Estimated Fair Market Value: \$14,162.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 06/03/2016

Sale Price: \$14,162.00

Organization: BRIM RECYCLERS INC

Last Name:

First Name:

Purchaser Address

Address Line1: PO Box 347

Address Line2:

City: CUDEBACKVILLE

State: NY

Postal Code: 12729

Plus4:

Province/Region:

Country: USA

Personal Property

3. Property Description: BOBCAT SKIDSTEER 743B

Estimated Fair Market Value: \$6,300.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 06/07/2016

Sale Price: \$6,300.00

Organization:

Last Name: CAMARATA

First Name: ANDREW

Purchaser Address

Address Line1: 1260 Glasco Turnpike

Address Line2:

City: SAUGERTIES

State: NY

Postal Code: 12477

Plus4:

Province/Region:

Country: USA

4. Property Description: 3 SELF CONTAINED HYDRAULIC COMPACTORS

Estimated Fair Market Value: \$12,000.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 06/06/2016

Sale Price: \$12,000.00

Organization: THOMPSON SANITATION

Last Name:

First Name:

Purchaser Address

Address Line1: PO Box 494

Address Line2:

City: ROCK HILL

State: NY

Postal Code: 12775

Plus4:

Province/Region:

Country: USA

Personal Property

5. Property Description: 30 ROLLOFF CONTAINERS

Estimated Fair Market Value: \$38,150.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 09/30/2016

Sale Price: \$38,150.00

Organization: RECYCLE DEPOT

Last Name:

First Name:

Purchaser Address

Address Line1: 230 Van Wagner Road

Address Line2:

City: POUGHKEEPSIE

State: NY

Postal Code: 12603

Plus4:

Province/Region:

Country: USA

6. Property Description: 10 ROLLOFF CONTAINERS

Estimated Fair Market Value: \$18,550.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 06/08/2016

Sale Price: \$18,550.00

Organization: ULTRACLEAN INC

Last Name:

First Name:

Purchaser Address

Address Line1: 200 Waring Road

Address Line2:

City: SYRACUSE

State: NY

Postal Code: 13224

Plus4:

Province/Region:

Country: USA

Personal Property

7. Property Description: 10 ROLLOFF CONTAINERS

Estimated Fair Market Value: \$10,900.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 02/29/2016

Sale Price: \$10,900.00

Organization: MARANGI DISPOSAL

Last Name:

First Name:

Purchaser Address

Address Line1: PO Box 495

Address Line2:

City: VALLEY COTTAGE

State: NY

Postal Code: 10989

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ucrra.org/boardandstaff/public-authorities/
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: